



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Peter J. Brickfield, et al.

Serial No.: 10/092,507

Group Art Unit: 2121

Filed: March 8, 2002

Examiner: not yet assigned

For: AUTOMATIC ENERGY MANAGEMENT AND ENERGY CONSUMPTION REDUCTION, ESPECIALLY IN COMMERCIAL AND MULTI-BUILDING SYSTEMS

Commissioner of Patents and Trademarks Washington, D.C. 20231

## **RESPONSE TO NOTIFICATION OF MISSING PARTS**

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application dated April 10, 2002, submitted herewith are the following

- 1) Copy of form PTO Notice 1533;
- 2) Declaration and Power of Attorney document signed by the inventors;
- 3) Check in the amount of \$65.00 for payment of the surcharge; and
- 4) Corrected drawings as requested in the Notice.

Please charge any deficiencies in fees or credit any overpayments to Attorney's Deposit Account No. 23-1951.

Respectfully submitted

Mary E. Goulet Reg. No.: 35,884

McGuireWoods, LLP 1750 Tysons Blvd, Suite 1800 McLean, Virginia 22102 703-712-5396

Response To Notice To File Missing Parts Of Application Filing Date Granted (PTO-1533)(Small Entity)				Docket No. 07560001AA			
In Re Application of: OPeter Jo Brickfield, et al.							
Serial No. Filing Date Examiner 10/092,507 March 8, 2002				Group Art Unit 2121			
Invention: AUTOMATIC ENERGY MANAGEMENT AND ENERGY CONSUMPTION REDUCTION, ESPECIALLY IN COMMERCIAL AND MULTI-BUILDING SYSTEMS							
	TO THE ASSISTANT COM	MISSIONER FOR PATENTS	<u>S:</u>				
Box Missing Parts							
This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on <u>April 10, 2002</u> Date							
Enclosed herewith for filing are the following:							
$\boxtimes$	☑ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). (REQUIRED)						
$\boxtimes$	An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.						
	A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.						
	An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.						
	A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.						
	verified small entity declaration(s)						
	is/are attached.						
	was/were filed on						
	A separate request for refund.						
	Other (list):						
				:			

Response To Notice To File Missing Parts Filing Date Granted (PTO-1533)(Sm	!!!	Docket No. 07560001AA	
In Re Application Of Peter S. Brickfield, et al.			
Serial No. Filing Date 10/092,507  ADDIMARK March 8, 2002	Examiner	Group Art Unit 2121	
Invention: AUTOMATIC ENERGY MANAGEMENT A ESPECIALLY IN COMMERCIAL AND MULTI-BUILD		REDUCTION,	
· · · · · · · · · · · · · · · · · · ·	MMISSIONER FOR PATENTS: er, Application Branch		
□ Completion of application fees as calculated below	v:		
☐ Utility application filing fee			
☐ Design application filing fee			
☐ Total number of independent claims =			
☐ Total number of claims =			
☐ Multiple dependent claims			
⊠ Surcharge for late payment of filing fee and/or	r late filing of original declaration	or oath \$65.00	
☐ Petition and fee for filing by other than all the	inventors or a person not the inve	entor	
☐ Fee for processing an application filed with a	non-English language specificati	on	
☐ Fee for processing and retention of applicatio	n ·	·	
	Total completion of applic	cation fees \$65.00	
This is a request under the provisions of 37 CFR above-identified Notice to File Missing Parts of Application desired). If an additional time extension is required, plea	on. The requested extension is	as follows (check time period	
☐ One month ☐ Two months ☐ T	Three months	s □ Five months	
from:  Date	until: Date		
	Total time ext	ension fees	
	To	tal fees due \$65.00	

Response To Notice To File Missing Parts (Filing Date Granted (PTO-1533) (Sm.	Docket No. 07560001AA					
In Re Application Of. Peter J. Brickfield, et al.						
APR 2 9 2002 (C)						
Serial No.	Examiner	Group Art Unit				
10/092,507 FAD MARY March 8, 2002		2121				
Invention: AUTOMATIC ENERGY MANAGEMENT AND ENERGY CONSUMPTION REDUCTION,						
ESPECIALLY IN COMMERCIAL AND MULTI-BUILDI	NG SYSTEMS					
TO THE ASSISTANT COMMISSIONER FOR PATENTS:						
Attention: Manager, Application Branch						
<ul> <li>The fee of \$65.00 is to be paid as follows:</li> <li>☑ A check in the amount of the fee is enclosed.</li> <li>☑ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 23-1951 A duplicate copy of this sheet is enclosed. </li> <li>☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 23-1951 A duplicate copy of this sheet is enclosed.</li> </ul>						
Mary E. Goulet Reg. No. 35,884  McGuireWoods LLP  Dated: April 29, 2002    Certify that this document and fee is being deposited on with the U.S. Postal Service as						

McGuireWoods LLP 1750 Tysons Blvd., Suite 1800 McLean, VA 22102

(703) 712-5396

on with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

HAND DELIVERED

Typed or Printed Name of Person Mailing Correspondence



## United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

0/092,507

03/08/2002

Peter J. Brickfield

07500001AA

**CONFIRMATION NO. 3726** 

**FORMALITIES LETTER** 

OC000000007839620\*

McGu**ire W**oods LLP **Suite 1800** OAZ50 Tysons Blvd. McLean, VA 22102

Date Mailed: 04/10/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/01/2002 GGEBREGI 00000003 10092507

FILED UNDER 37 CFR 1.53(b)

01 FC:205

65.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE